## IAP7 Rec'd PCT/PTO 10 JAN 2006

#### TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE CUSTOMER NO. 22,852 ATTORNEY'S DOCKET NUMBER: 05788.0360 (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/536,807 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/EP2002/013514 November 29, 2002 TITLE OF INVENTION: OPTICAL COMMUNICATION SYSTEM APPLICANT(S) FOR DO/EO/US: 1) Lucia MARAZZI, 2) Mario MARTINELLI, 3) Andrea MELLONI, 4) Livio PARADISO, and 5) Paola **PAROLARI** Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C 371. П This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items П 3. (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)). is attached hereto (required only if not communicated by the International Bureau). h. has been communicated by the International Bureau. is not required, as the application was filed with the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)). are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. C. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 9 An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. 🗆 Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 13. 🔲 14. An Application Data Sheet under 37 CFR 1.76. 15. $\square$ A Substitute specification. 16. 🔲 A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825. 17. A second copy of the published International Application under 35 U.S.C. 154 (d)(4). 18. □ A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4). 19. 20. Other items or information: Copy of cover page of International Publication No. $\boxtimes$ Copy of Notification of Missing Requirements. П d. e. f.

	U.S. AP 10/536,8	PLICATION NO. (if known	n, see 37 CFR 1.5)	INTERNATIONAL APPLICA PCT/EP2002/013514	TION NO.		ATTORNEY'S DO NUMBER 05788.0360	CKET
		The following fees ha	ave been submitted:				CALCULATIONS	PTO Use Only
	21.	BASIC NATIONAL F	SIC NATIONAL FEE (37 CFR 1.492(a)):\$300.00					,
	22. 🔲	Examination fee (37C	FR 1.492(c))					
	b	y IPEA/US indicates all cl	laims satisfy provisions of PC	preliminary examination repor T Article 33(1)-(4)	\$0		\$	
	Search i Search i Internati	PEA/US indicates all clain fee (37 CFR 1.445(a)(2)) nternational Searching Au ional Search Report prepa reviously communicated t	S or the International prelimins satisfy provisions of PCT / has been paid on the international thority	nary examination report prepar Article 33(1)-(4) tional application to the USPT BUS and provided to the Office	\$0 FO as an\$100 se or\$400			
				1, 22 and 23 =				
	☐ Add	listing in compliance electronic medium) (3	with 37 CFR 1.821(c) or (e)	r over 100 sheets (excluding some computer program listing in paper or fraction thereof.				
		Total Sheets	Extra Sheets	Number of each additional thereof (round up to a who		Rate		
		- 100 =	/50=			x \$250.00	\$	
	Surchar commer	ge of \$130.00 for furnishincement of the national st	ng any of the search fee, exa age (37 CFR 1.492(h)).	mination fee, or the oath or d	eclaration after the da	ate of	\$130.00	
		CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
	Total Cla	aims	- 20 =	:	x \$50.0	0	\$	
	•	dent Claims	-3 =	=	x \$200.0	00	\$	
	MULTIP	LE DEPENDENT CLAIM	(S) (if applicable)		+\$360.0	0	\$	
				ТОТА	L OF ABOVE CALC	ULATIONS =	\$130.00	
	☐ Appl	icant claims small entity s	status. See 37 CFR 1.27. Fe	ees above are reduced by 1/2.			\$	
					S	SUBTOTAL =	\$130.00	
	Process 1.492(i))	ing fee of \$130.00 for furn	nishing the English translation	n later than 30 months from th	ne earliest priority dat	e (37 CFR +	\$	
	·				TOTAL NATI	ONAL FEE =	\$ 130.00	
	Fee for i	recording the enclosed as neet (37 CFR 3.28, 3.31).	ssignment (37 CFR 1.21 (h)). \$40.00 per property.	The assignment must be acc	companied by an app	ropriate +	\$	
					TOTAL FEES E	NCLOSED =	\$ 130.00	
	2006 GF	REY1 00000088 105	·				Amount to be refunded:	\$
C:	1917		130°00 Ah				charged:	\$
	a. ⊠ b. □	A check in the amount of Please charge my Depo	of \$ to cosit Account No	cover the above fees is enclos		to cover ti	ne above fees	
	. 157	A duplicate copy of this	sheet is enclosed.					
	c. ⊠	No. <u>06-0916</u> . A duplica	ereby authorized to charge at the copy of this sheet is enclo	ny additional fees which may beed.	be required, or credit	any overpaym	ent to Deposit Accou	unt
	d. 🗖	Fees are to be charged on this form. Provide of	to a credit card. WARNING: credit card information and a	Information on this form may uthorization on PTO-2038.	become public. Cre	dit card infor	mation should not t	oe included
	NOTE:	Where an appropriate to restore the International	ime limit under 37 CFR 1.49 Ional Appilcation to pendin	95 has not been met, a petit g status.	ion to revive (37 CFI	R 1.137(a) or (	b)) must be flied ar	nd granted
ı	SEND	ALL CORRESPONDENC	E TO:					
	901 New	n, Henderson, Farabow, ( v York Avenue, N.W. gton, DC 20001-4413 D/sci	Garrett & Dunner, L.L.P.		RE Chapman/25.961 GISTRATION NUMB	ED		
	DATED:	January 10, 2006		NAME/RE	ON WIND HATTON NUMB	LN		

# Rec'd PCT/PTO 10 JAN 2006



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FIRST NAMED APPLICANT ATTY. DOCKET NO. U.S. APPLICATION NUMBER NO Lucia Marazzi 05788.0360

10/536,807

INTERNATIONAL APPLICATION NO.

PCT/EP02/13514

I.A. FILING DATE

PRIORITY DATE

11/29/2002

22852 FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413

**CONFIRMATION NO. 4593 371 FORMALITIES LETTER** \*OC000000017429111\*

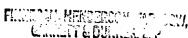
Date Mailed: 11/10/2005

#### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 05/27/2005
- Copy of the International Search Report filed on 05/27/2005
- Preliminary Amendments filed on 05/27/2005
- Information Disclosure Statements filed on 05/27/2005
- U.S. Basic National Fees filed on 05/27/2005

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The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION,

### WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### **CHARITTA A BURT**

Telephone: (703) 308-9140 EXT 207

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	. ATTY, DOCKET NO	
10/536,807	PCT/EP02/13514	05788.0360	

FORM PCT/DO/EO/905 (371 Formalities Notice)